## Math 430 Tom Tucker NOTES FROM CLASS 09/04/24

Last time we were in the process of defining Noetherian rings. Recall...

**Definition 3.1.** A ring A is said to be *Noetherian* if it satisfies the *ascending chain condition* which states that if there is a sequence of ideals  $I_m$  such that

$$I_0 \subseteq I_1 \subseteq I_2 \subseteq \cdots \subseteq I_m \subseteq \ldots$$

then there is an N such that for all  $n \ge N$ , we have  $I_n = I_{n+1}$ . In other word, the chain becomes stationary.

A quick word on maximality: an ideal I is maximal if there is no larger proper ideal J containing I. Maximal ideals are usually written as  $\mathfrak{m}$ .

**Lemma 3.2.** Let A be a Noetherian ring. Any subset S of ideals of A has a maximal element (here maximal means that there is no strictly larger ideal  $I' \supset I$  in S).

*Proof.* Let  $I_0 \in S$ . If I is not maximal in S there is a larger ideal  $I_1 \in S$  containing  $I_0$ . Similarly, if  $I_1$  is not maximal there is a larger ideal  $I_2 \in S$  containing it and so on, so we have an ascending chain of ideals

$$I_0 \subseteq I_1 \subseteq \cdots \subseteq I_m \subseteq \ldots,$$

which means that there is some N such that for all  $n \geq N$ , we have  $I_n = I_{n+1}$  Then  $I_N$  is a maximal element of S.

**Proposition 3.3.** R is Noetherian  $\Leftrightarrow$  every ideal of R is finitely generated.

*Proof.* ( $\Rightarrow$ ) Let J be an ideal and let  $S_J$  be set of all finitely generated ideals contained in J. This set is nonempty since for any  $a \in J$ , the ideal  $Ra \subseteq J$  is finitely generated. Let I be a maximal element of  $S_J$ . If I is not equal to J, then there is some  $b \in J$  such that  $b \notin I$ . But I + Ra is also finitely generated and strictly larger than I, so this is impossible. Thus, I = J and j is finitely generated. ( $\Leftarrow$ ) Let

$$I_0 \subseteq I_1 \subseteq \cdots \subseteq I_m \subseteq \ldots,$$

be an ascending chain of ideals. Then  $\bigcup_{j=0}^{\infty} I_j$  is an ideal (easy to check) and is finitely generated, by, say, the set  $a_1, \ldots, a_\ell$ . Each  $a_i$  is in some  $I_j$  so there is an  $I_N$  containing all of the  $a_i$ . Thus,  $I_N = \bigcup_{j=0}^{\infty} I_j$  and  $I_{n+1} = I_n$  for every  $n \ge N$ .

Recall an ideal  $\mathfrak{p}$  is said to be prime if  $ab \in \mathfrak{p}$  implies that either  $a \in \mathfrak{p}$  or  $b \in \mathfrak{p}$ .

**Definition 3.4.** The *dimension* of a ring is the largest n for which there exists a chain of prime ideals

$$\mathfrak{p}_0 \subsetneq \mathfrak{p}_1 \subsetneq \cdots \subsetneq \mathfrak{p}_n,$$

where the  $\mathbf{p}_i$  are prime ideals and  $\mathbf{p}_i \neq \mathbf{p}_{i+1}$  (for  $i = 1, \ldots, n-1$ ).

Not all rings are finite dimensional, e.g.  $k[(x_i)_{i=1}^{\infty}]$ . This ring isn't Noetherian either. But furthermore, not all Noetherian rings are finite dimensional. However, all local Noetherian rings *are* finite dimensional.

Now, let's define localization... Let A be a domain and let  $S \subset A$  be closed under multiplication and suppose that  $0 \notin S$ . Then, we can form a the ring  $S^{-1}A$  which is the set of fraction of the form

where  $a \in A$  and  $s \in S$  subject to the equivalence relation

$$\frac{a}{s} = \frac{b}{t}$$

if at = bs. It is easy to check that is well-defined, e.g. that if at = bs and a't' = b's' then

$$\frac{a}{s}\frac{b}{t} = \frac{a'}{s'}\frac{b'}{t'}$$

and

$$\frac{a}{s} + \frac{b}{t} = \frac{a'}{s'} + \frac{b'}{t'}.$$

Note furthermore that s/s serves as 1 and that 0/s serves as 0. Also there is a natural map sending A into  $S^{-1}A$  by fixing  $s \in S$  and sending a to as/s.

Remark 3.5. Note that we need to change things slightly when S contains zero divisors. We say that a/s = a'/s' if there exists some  $t \in S$ such that tas' = ta's.

On the other hand, when A is a domain the map  $A \longrightarrow S^{-1}A$  is always injective. Since a/1 = 0/t implies that at = 0 which implies that a = 0.

When  $\mathfrak{p}$  is a prime elements than  $A \setminus \mathfrak{p}$  is multiplicatively closed set since  $a, b \notin \mathfrak{p}$  implies that  $ab \notin \mathfrak{p}$ . This is the most important example of localization and in this case  $S^{-1}A$  is written as  $A_{\mathfrak{p}}$ . Examples...

**Example 3.6.** Localizing  $\mathbb{Z}$  at the ideal (p) for p a prime number we get the set of elements of  $\mathbb{Q}$  that can be written as a/s where s is not divisible by p.

Some more notation...people frequently write  $R_S$  rather  $S^{-1}R$  simply because it is easier to write (for example, Janusz does this).

Some theorems from the book about localization. A quick note on prime ideals: we do not consider the whole ring R to be a prime ideal.

**Lemma 3.7.** Let R be an integral domain. Let S be a multiplicative subset of R that does not contain 0. There is a bijection between the primes in R that do not intersect S and the primes in  $R_S$ .

*Proof.* Denote the map from R into  $R_S$  as  $\phi$ . Every prime ideal  $\mathfrak{q}$  in  $R_S$  pulls back to a prime ideal  $\phi^{-1}(\mathfrak{q})$  of R. We also see that an ideal  $\mathfrak{p}$  in R is equal to  $\phi^{-1}(\mathfrak{q})$  for some  $\mathfrak{q}$  in  $R_S$  if  $\phi(\mathfrak{p})$  is a prime ideal and  $\phi^{-1}(\phi(\mathfrak{p})) = \mathfrak{p}$ . Now, if there is some  $s \in S \cap \mathfrak{p}$ , then clearly  $R_S \mathfrak{p} = 1$ , since  $\frac{1}{s}s = 1$ . So it only remains to show that if  $\mathfrak{p}$  is a prime that doesn't intersect S, then  $R_s \mathfrak{p}$  is a prime ideal. It is easy to see that  $R_s \mathfrak{p}$  consists of all a/s for which  $a \in \mathfrak{p}$  and  $s \in S$ . Now, suppose that

$$\frac{x}{t}\frac{y}{t'} = \frac{a}{s}$$

for  $x, y \in R, t, t' \in S$  and  $a/s \in R_S$ . Then xys = att', so  $xy \in \mathfrak{p}$  (since  $s \notin \mathfrak{p}$ , so either x or y is in  $\mathfrak{p}$ , so either x/t or y/t' is in  $R_S\mathfrak{p}$ . Thus,  $R_S\mathfrak{p}$  is indeed a prime ideal.

Forming  $S^{-1}R$  is called *localizing* R. We define a local ring to be a ring with only one maximal ideal, e.g.  $\mathbb{Z}_{(p)}$  is a local ring.

**Proposition 3.8.** (Poor man's unique factorization) Let R be a Noetherian ring and let I be an ideal in R. Then I has the property that there exist (not necessarily distinct) prime ideals  $(\mathfrak{p}_i)_{i=1}^n$  such that

•  $\mathfrak{p}_i \supset I$  for each *i*; and

• 
$$\prod_{i=1}^{n} \mathfrak{p}_i \subseteq I.$$

*Proof.* Let S be the set of ideals of R not having this property. Then S has a maximal element, call it I. We can assume I is not prime since prime ideals trivially have the desired property. Thus, there exist  $a, b \notin I$  such that  $ab \in I$ . The ideals I + Ra and I + Rb are larger than I, so must have prime ideals  $\mathfrak{p}_i$  and  $\mathfrak{q}_j$  such that

$$\prod_{i=1}^{n} \mathfrak{p}_i \subseteq I + Ra$$

with  $\mathfrak{p}_i \supset I + Ra \supset I$  and

$$\prod_{i=1}^{n} \mathfrak{q}_{i} \subseteq I + Rb$$
  
with  $\mathfrak{q}_{i} \supset I + Rb \supset I$ . Also,  $(I + Ra)(I + Rb) \subseteq I$  so  
$$\prod_{i=1}^{n} \mathfrak{p}_{i} \prod_{i=1}^{n} \mathfrak{q}_{i} \subset I$$
  
and  $I$  does have the desired property after all

and I does have the desired property after all.

There is no uniqueness at all here. Let's get a very, very weak uniqueness result for for local rings.

**Proposition 3.9.** Let R be a local integral domain with maximal ideal  $\mathfrak{m}$ . Then  $\mathfrak{m}^n \neq \mathfrak{m}^{n+1}$  for  $n \geq 1$ .

*Proof.* Since  $\mathfrak{m}^n \neq 0$  for any n, we may apply Nakayama's lemma below to  $\mathfrak{m}$  considered as an R-module.

**Lemma 3.10.** (Nakayama's lemma) Let R be a local ring with maximal ideal  $\mathfrak{m}$  and let M be a finitely generated R-module. Suppose that  $\mathfrak{m}M = M$ . Then M = 0.

*Proof.* The proof is similar to that of the Cayley-Hamilton theorem. Let  $m_1, \ldots, m_n$  generate M. Then  $\mathfrak{m}M$  will be the set of all sums  $\sum_{j=1}^n a_j m_j$  where  $a_j \in \mathfrak{m}$ . In particular, we can write

$$1 \cdot m_i = \sum_{j=1}^n a_{ij} m_j.$$

We form the matrix  $T := I - [a_{ij}]$  as  $n \times n$  matrix over A and treat as an endomorphism of  $M^n$  (as in Cayley-Hamilton). Then, as in Cayley-Hamilton  $T(m_1, \ldots, m_n)^t = 0$  (i.e., T times the column vector with entries  $m_i$ ), which means that  $UT(m_1, \ldots, m_n)^t = 0$  which means that  $(\det T)m_i = 0$  for each i, so  $(\det T)M = 0$ . Expanding out  $\det T$ , we note that all the  $a_{ij}$  are in  $\mathfrak{m}$  so we obtain

$$(1^n + b_{n-1}1^{n-1} + \dots + b_0)M = 0.$$

Now  $1 + b_{n-1} + \ldots b_0$  is not in **m** so it must be a unit u. Then we have uM = 0, so  $u^{-1}uM = 0$ , so 1M = 0, so M = 0.