

## Exercises

1. Show that  $\mathbb{Q}$  is countably infinite.
2. Show that the maps  $f$  and  $g$  of Examples 1 and 2 are bijections.
3. Let  $X$  be the two-element set  $\{0, 1\}$ . Show there is a bijective correspondence between the set  $\mathcal{P}(\mathbb{Z}_+)$  and the cartesian product  $X^\omega$ .
4. (a) A real number  $x$  is said to be **algebraic** (over the rationals) if it satisfies some polynomial equation of positive degree

$$x^n + a_{n-1}x^{n-1} + \cdots + a_1x + a_0 = 0$$

with rational coefficients  $a_i$ . Assuming that each polynomial equation has only finitely many roots, show that the set of algebraic numbers is countable.

- (b) A real number is said to be **transcendental** if it is not algebraic. Assuming the reals are uncountable, show that the transcendental numbers are uncountable. (It is a somewhat surprising fact that only two transcendental numbers are familiar to us:  $e$  and  $\pi$ . Even proving these two numbers transcendental is highly nontrivial.)
5. Determine, for each of the following sets, whether or not it is countable. Justify your answers.
    - (a) The set  $A$  of all functions  $f : \{0, 1\} \rightarrow \mathbb{Z}_+$ .
    - (b) The set  $B_n$  of all functions  $f : \{1, \dots, n\} \rightarrow \mathbb{Z}_+$ .
    - (c) The set  $C = \bigcup_{n \in \mathbb{Z}_+} B_n$ .
    - (d) The set  $D$  of all functions  $f : \mathbb{Z}_+ \rightarrow \mathbb{Z}_+$ .
    - (e) The set  $E$  of all functions  $f : \mathbb{Z}_+ \rightarrow \{0, 1\}$ .
    - (f) The set  $F$  of all functions  $f : \mathbb{Z}_+ \rightarrow \{0, 1\}$  that are “eventually zero.” [We say that  $f$  is **eventually zero** if there is a positive integer  $N$  such that  $f(n) = 0$  for all  $n \geq N$ .]
    - (g) The set  $G$  of all functions  $f : \mathbb{Z}_+ \rightarrow \mathbb{Z}_+$  that are eventually 1.
    - (h) The set  $H$  of all functions  $f : \mathbb{Z}_+ \rightarrow \mathbb{Z}_+$  that are eventually constant.
    - (i) The set  $I$  of all two-element subsets of  $\mathbb{Z}_+$ .
    - (j) The set  $J$  of all finite subsets of  $\mathbb{Z}_+$ .
  6. We say that two sets  $A$  and  $B$  **have the same cardinality** if there is a bijection of  $A$  with  $B$ .
    - (a) Show that if  $B \subset A$  and if there is an injection

$$f : A \rightarrow B,$$

then  $A$  and  $B$  have the same cardinality. [Hint: Define  $A_1 = A$ ,  $B_1 = B$ , and for  $n > 1$ ,  $A_n = f(A_{n-1})$  and  $B_n = f(B_{n-1})$ . (Recursive definition again!) Note that  $A_1 \supset B_1 \supset A_2 \supset B_2 \supset A_3 \supset \cdots$ . Define a bijection  $h : A \rightarrow B$  by the rule

$$h(x) = \begin{cases} f(x) & \text{if } x \in A_n - B_n \text{ for some } n, \\ x & \text{otherwise.} \end{cases}$$

- (b) **Theorem (Schröder-Bernstein theorem).** *If there are injections  $f : A \rightarrow C$  and  $g : C \rightarrow A$ , then  $A$  and  $C$  have the same cardinality.*
7. Show that the sets  $D$  and  $E$  of Exercise 5 have the same cardinality.
8. Let  $X$  denote the two-element set  $\{0, 1\}$ ; let  $\mathcal{B}$  be the set of *countable* subsets of  $X^\omega$ . Show that  $X^\omega$  and  $\mathcal{B}$  have the same cardinality.
9. (a) The formula

$$\begin{aligned} h(1) &= 1, \\ (*) \quad h(2) &= 2, \\ h(n) &= [h(n+1)]^2 - [h(n-1)]^2 \quad \text{for } n \geq 2 \end{aligned}$$

is not one to which the principle of recursive definition applies. Show that nevertheless there does exist a function  $h : \mathbb{Z}_+ \rightarrow \mathbb{R}$  satisfying this formula. [Hint: Reformulate (\*) so that the principle will apply and require  $h$  to be positive.]

- (b) Show that the formula (\*) of part (a) does not determine  $h$  uniquely. [Hint: If  $h$  is a positive function satisfying (\*), let  $f(i) = h(i)$  for  $i \neq 3$ , and let  $f(3) = -h(3)$ .]
- (c) Show that there is no function  $h : \mathbb{Z}_+ \rightarrow \mathbb{R}$  satisfying the formula

$$\begin{aligned} h(1) &= 1, \\ h(2) &= 2, \\ h(n) &= [h(n+1)]^2 + [h(n-1)]^2 \quad \text{for } n \geq 2. \end{aligned}$$

## \*§8 The Principle of Recursive Definition

Before considering the general form of the principle of recursive definition, let us first prove it in a specific case, that of Lemma 7.2. That should make the underlying idea of the proof much clearer when we consider the general case.

So, given the infinite subset  $C$  of  $\mathbb{Z}_+$ , let us consider the following recursion formula for a function  $h : \mathbb{Z}_+ \rightarrow C$ :

$$\begin{aligned} (*) \quad h(1) &= \text{smallest element of } C, \\ h(i) &= \text{smallest element of } [C - h(\{1, \dots, i-1\})] \quad \text{for } i > 1. \end{aligned}$$

We shall prove that there exists a unique function  $h : \mathbb{Z}_+ \rightarrow C$  satisfying this recursion formula.

The first step is to prove that there exist functions defined on *sections*  $\{1, \dots, n\}$  of  $\mathbb{Z}_+$  that satisfy (\*):

**Lemma 8.1.** *Given  $n \in \mathbb{Z}_+$ , there exists a function*

$$f : \{1, \dots, n\} \rightarrow C$$

*that satisfies (\*) for all  $i$  in its domain.*